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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: A8937

Paul GILSON, et al.

Appln. No.: 10/058,828

Group Art Unit: 3731

Confirmation No.: 3776

Examiner: Uyen T. HO

Filed: January 30, 2002

For: EMBOLIC PROTECTION DEVICE

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

11/14/2006 HAHRED1 00000166 10058828

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100.00 OP

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/058,828


Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits an English Abstract for each foreign reference.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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Registration No. 32,607

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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: November 13, 2006

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 21

Complete if Known

Application Number	10/058,828
Confirmation Number	3776
Filing Date	January 30, 2002
First Named Inventor	Paul Gilson
Art Unit	3731
Examiner Name	Ho, Uyen T.
Attorney Docket Number	A8937

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2,943,626	7/1960	Dormia	
		US 3,435,824	04/1969	Gamponia	
		US 4,425,909	01/1984	Rieser	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		WO	97/17100		05/1997		
		WO	97/27808		08/1997		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

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Sheet	2	of	21	Attorney Docket Number	A8937

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		Number	Kind Code <sup>2</sup> (if known)		
		US 4,586,919		05/1986	Taheri
		US 4,643,187		02/1988	Mobin-Uddin
		US 4,712,551		12/1987	Rayhunabad
		US 4,781,177		11/1988	Lebigot
		US 4,807,626		02/1989	McGirr
		US 4,817,600		04/1989	Herms et al.
		US 4,832,055		05/1989	Palestrant
		US 4,957,501		09/1990	Lahille et al.
		US 4,969,891		11/1990	Gevertz

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		US 4,990,156		02/1991	Lefebvre
		US 5,092,839		03/1992	Kipperman
		US 5,100,423		03/1992	Fearnot
		US 5,133,733		07/1992	Rasmussen et al.
		US 5,171,233		12/1992	Amplatz et al.
		US 5,254,622		10/1993	Nanasawa et al.
		US 5,354,310		10/1994	Garnic et al.
		US 5,370,657		12/1994	Irie
		US 5,375,612		12/1994	Cottenceau et al.
		US 5,383,887		01/1995	Nadal
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		US 5,421,832		06/1995	Lefebvre
		US 5,540,707		07/1996	Ressemenn et al.
		US 5,549,626		08/1996	Miller et al.
		US 5,621,065		04/1997	Pudleiner al. al
		US 5,658,296		08/1997	Bates et al.

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Sheet	4	of	21	Attorney Docket Number	A8937

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		US 5,709,704		01/1998	Nott et al.
		US 5,720,764		02/1998	Naderlinger
		US 5,725,519		03/1998	Penner et al.
		US 5,779,716		07/1998	Cano et al.
		US 5,779,716 (X6)		07/1998	Cano et al.
		US 5,810,874		09/1998	Lefebvre
		US 5,834,449		11/1998	Thompson et al.
		US 5,834,449(X6)		11/1998	Thompson et al.
		US 5,836,969		11/1998	Kim et al.
		US 5,846,260		12/1998	Maahs
		US 5,846,260(X6)		12/1998	Maahs
		US 5,876,367		03/1999	Kaganov et al.

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		US 5,879,697		03/1999	Ding et al.
		US 5,882,329		03/1999	Patterson et al.
		US 5,895,398		04/1999	Wensel et al.
		US 5,911,725		06/1999	Boury
		US 5,928,261		07/1999	Ruiz
		US 5,935,139		08/1999	Bates
		US 5,941,896		08/1999	Kerr
		US 5,947,995		09/1999	Samuels
		US 5,968,071		10/1999	Chevillon
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		US 5,989,281		11/1999	Barbut et al.
		US 5,997,557		11/1999	Barbut et al.
		US 6,007,557		12/1999	Ambrisco et al.
		US 6,010,522		12/1999	Barbut et al.
		US 6,013,093		01/2000	Nott et al.
		US 6,027,509		02/2000	Schatz et al.

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		US 6,048,645		05/2000	Tu
		US 6,051,014		04/2000	Jang
		US 6,059,814		05/2000	Ladd
		US 6,063,173		07/2000	Balceta et al.
		US 6,063,173(X6)		07/2000	Balceta et al.
		US 6,066,149		05/2000	Samson et al.
		US 6,066,149(X6)		05/2000	Samson et al.
		US 6,083,239		07/2000	Addis
		US 6,083,239(X6)		07/2000	Addis
		US 6,086,577		07/2000	Ken et al.
		US 6,086,577(X6)		07/2000	Ken et al.
		US 6,086,605		07/2000	Barbut et al.
		US 6,090,097		07/2000	Barbut et al.
		US 6,096,027		08/2000	Layne
		US 6,096,053		08/2000	Bates
		US 6,099,534		08/2000	Bates et al.
		US 6,099,549		08/2000	Bosma et al.
		US 6,123,715		09/2000	Amplatz
		US 6,142,987		11/2000	Tsugita
		US 6,146,404		11/2000	Kim et al.
		US 6,156,200		12/2000	Tsugita et al.
		US 6,168,579		01/2001	Tsugita

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		US 6,168,604		01/2001	Cano
		US 6,171,328		01/2001	Addis
		US 6,176,849		01/2001	Yang et al.
		US 6,206,868		03/2001	Parodi
		US 6,238,412		05/2001	Dubrul et al.
		US 6,241,746		06/2001	Bosma et al.
		US 6,245,087		06/2001	Addis
		US 6,245,088		06/2001	Lowery
		US 6,251,122		06/2001	Tsukernik
		US 6,254,563		07/2001	Macoviak et al.
		US 6,258,115		07/2001	Dubrul
		US 6,258,120		07/2001	McKenzie et al.
		US 6,264,663		07/2001	Cano
		US 6,264,672		07/2001	Fisher
		US 6,267,776		07/2001	O'Connell
		US 6,267,777		07/2001	Bosma et al.
		US 6,273,901		08/2001	Whitcher et al.

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		US 6,290,710		09/2001	Cryer et al.
		US 6,295,989		10/2001	Connors, III
		US 6,306,163		10/2001	Fitz
		US 6,340,364		01/2002	Kanesaka
		US 6,348,056		02/2002	Bates et al.
		US 6,355,051		03/2002	Sisskind et al.
		US 6,361,545		03/2002	Macoviak et al.
		US 6,361,546		03/2002	Khosravi
		US 6,364,895		04/2002	Greenhalgh
		US 6,371,935		04/2002	Macoviak et al.
		US 6,371,969		04/2002	Tsugita et al.
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		US 6,371,971		04/2002	Tsugita et al.
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		US 6,398,756		06/2002	Peterson et al.

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

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				Application Number	10/058,828
				Confirmation Number	3776
				Filing Date	January 30, 2002
				First Named Inventor	Paul Gilson
				Art Unit	3731
				Examiner Name	Ho, Uyen T.
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				Confirmation Number	3776	
				Filing Date	January 30, 2002	
				First Named Inventor	Paul Gilson	
				Art Unit	3731	
Examiner Name				Ho, Uyen T.		
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		US 2003/0018354		01/2003	Roth et al.
		US 2003/0023265		01/2003	Forber
		US 2003/0028238		02/2003	Burkett et al.
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		US 2003/0199819		10/2003	Beck
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		A. Beck et al., "Dilatation of the Carotid Artery by a Temporary Carotid Filter", EDIZIONI OPLITAI - Via dei Foscari N° 7 -00162 Roma, SPEDIZ, IN ABB. POSTALE GRUPPO IV - 70% - ANNO II N. 6 NOVEMBRE - DICEMBRE 1989 L. 800	
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